

Product Usage Guideline

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Introduction

This document has been prepared to help you understand the price list and the use rights, as described in the General Terms & Conditions. It also contains additional information on how to use our software in compliance with our licensing terms as well as explanations on the product maintenance and additional services.

Change History

Date	Explanation	Chapter
01.10.2018	<p>Versions 6.6, 7.1 and 7.2 have been added.</p> <p>Maintenance for version 6.5 has expired.</p>	<p>Unified Endpoint Management</p> <p>– Enterprise Manager</p>
31.07.2018	<p>Version 18.0.1 LTSB has been added</p> <p>Maintenance for v16.1.1 LTSB ends 06/2019</p>	<p>Unified Endpoint Management</p> <p>– Empirum</p>
	<p>Explanations on terms for changing metric</p>	<p>Change of Metric</p>
01.07.2018	<p>Version 11 has been added</p> <p>Maintenance for version 9 has expired</p>	<p>Oracle Database / Datacenter Compliance (nova ratio)</p>
	<p>Version 18 has been added</p> <p>Maintenance for version 16.1 has expired</p>	<p>Unified Endpoint Management</p> <p>– Empirum</p>
	<p>Maintenance for version 6.4 has expired</p> <p>Maintenance for version 6.3 has expired</p>	<p>Unified Endpoint Management</p> <p>– Enterprise Manager</p>
	<p>Version 18 has been added</p> <p>Maintenance for version 5.1 has expired</p>	<p>Unified Endpoint Management</p> <p>– Silverback</p>
	<p>Easy Recovery was discontinued</p>	<p>Unified Endpoint Management</p> <p>– Empirum</p>
01.12.2017	<p>Version 9.0 has been added</p> <p>Maintenance for version 8.0 has expired</p>	<p>Software Asset & Service Management</p>
	<p>Special rule regarding number of supported version for Silverback has been removed. Now the general rule of supporting last two versions is valid.</p>	<p>Unified Endpoint Management</p> <p>– Silverback</p>
30.11.2017	<p>Maintenance for version 6.2 has expired</p>	<p>Unified Endpoint Management</p> <p>– Enterprise Manager</p>

Date	Explanation	Chapter
30.08.2017	Maintenance for version 5.0 has expired	Unified Endpoint Management – Silverback
	Maintenance for version 6.1 has expired	Unified Endpoint Management
	Maintenance for version 6.0 has expired	– Enterprise Manager
	Maintenance for version 2014 has expired	
07.08.2017	Version 7.0 has been added	Unified Endpoint Management – Enterprise Manager
01.07.2017	Updated description according to price list	Applicable Metric
	Maintenance for version 16.0 has expired	Unified Endpoint Management – Empirum
30.04.2017	Power Management has been removed from this document	Maintenance Status
	Correction of partly incorrect maintenance information	Unified Endpoint Management – Empirum
	Correction of partly incorrect maintenance information	Unified Endpoint Management – Silverback
	Correction of partly incorrect maintenance information	Unified Endpoint Management – Enterprise Manager
	Version 6.5 has been added	
	Version 10 has been added	Oracle Database / Datacenter Compliance (nova ratio)
31.03.2017	Maintenance for version 4.9 has expired	Unified Endpoint Management – Silverback
01.01.2017	Change of metric for Oracle Database Compliance from „Server“ to „Database Instance“	Product Licensing
	Maintenance for version 4.8 has expired	Unified Endpoint Management – Silverback
	Version 6.3 has been added	Unified Endpoint Management
	Version 6.4 has been added	– Enterprise Manager
	Version 8.1 LTSB has been added	Software Asset & Service Management

Date	Explanation	Chapter
	Version 16.1.1 LTSB was added Maintenance for version 15.1 has expired	Unified Endpoint Management – Empirum
31.10.2016	Rule about compatibility among product versions added	Compatibility
30.09.2016	Change of regulation regarding Long-term Maintenance when using different products	Special Regulation for Long-Term Maintenance
31.08.2016	Maintenance for Silverback 4.7 has expired	Unified Endpoint Management – Silverback
17.06.2016	Maintenance for Service Store 7.2 has expired	Software Asset & Service Management
	Nero is no prioritized vendor in LIS anymore. Instead, Trend Micro has been included.	License Intelligence Service
14.04.2016	Status of Maintenance for Service Store and Empirum	Maintenance Status
01.02.2016	Improved explanations of general product maintenance	General Regulation
	Special terms for long term maintenance have been added	Special Regulation for Long-Term Maintenance
	Special terms for extended maintenance have been added Addition of special regulation for extended maintenance	Special Regulation for Extended Maintenance

Product Licensing

Applicable Metric

As a rule, software products must be licensed based on a standardized license metric. It is not possible to mix license metrics "per Device" and "per User", except for products that cannot be licensed with those metrics.

Example 1:

- › Matrix42 Service Catalog is licensed, based on a "per user" metric
- › Matrix42 License Management must also be licensed "per user"

The number of required licenses can be calculated, based on the respective license metric of the licensed product. The respective basic product determines the number of licenses required for corresponding additional products.

Example 2:

- › Matrix42 Client Management (Empirum) has been licensed for 1,000 devices
- › Matrix42 Patch Management must also be licensed for 1,000 devices.

Example 3:

- › Matrix42 Compliance has been licensed for 1,100 devices (900 clients + 200 servers)
- › 60 Oracle databases are installed on 10 physical and 50 virtual machines, which run on 20 physical hosts.
- › Number of relevant database instances: 60
- › Matrix42 Oracle Database Compliance must be licensed for 60 database instances.

The license metric defines the scope of permitted usage of all licensed products and product modules. The functionality of the technical software included in the licensed products may be used for licensed devices or users only.

Change of Metric

A change of the metric for an acquired license stock is basically possible on request but requires the consent of Matrix42.

The calculation of the maintenance fees (UPS) applicable from the change is based on the price list for the new metric. In addition, it may occur that there are not enough licenses in the stock after the change, which means that they must be purchased.

The following rules apply:

Change	Inventory Situation	Quantity of new license stock
Device → User	Device Count > User Count	User Count
Device → User	Device Count < User Count	Device Count
Device → User	Device Count = User Count	User Count
User → Device	Device Count > User Count	User Count
User → Device	Device Count < User Count	Device Count
User → Device	Device Count = User Count	Device Count

Types of Licenses

Licenses for the Matrix42 products can either be purchased or leased. Leased licenses can be provided for the temporary usage of software to be operated on-premise or based on the "software as a service" (SaaS) model. Some selected products are only available as SaaS offerings. Please refer to the valid price list to find out which products are SaaS-only.

Product Activation

Some products and online services are protected by technical measures, and a license key must be activated to be able to use these products or services. Please ensure that the keys you have been assigned are kept in a safe place and are treated as confidential. It is your responsibility to use the assigned keys and activate the products properly. You must not disclose these keys to any third parties, even after your contract with Matrix42 has been terminated or has expired.

Licenses for Partners (NFR)

As a registered partner, you will receive licenses for internal training purposes upon request. These licenses are not suitable for resale ("*Not for Resale*", NFR).

You are not permitted to use these licenses for productive environments within your own company or make them available to third parties as temporary trials for evaluation purposes ("*proof of concept*"). Trials for third parties always require a trial license, which you can request separately for each case through the Matrix42 sales organization.

Operations for Third Parties

Service providers that want to run Matrix42 software for their customers can conclude a separate agreement upon explicit request to cover this specific kind of usage.

In any case, they are not entitled to cede the software to any third parties for evaluation purposes or operate the software for third parties or have it operated by third parties for evaluation purposes.

Operations by Third Parties (Outsourcing)

You are entitled to install and use permitted copies of the software on servers and other devices that are administrated and controlled by a third party. We explicitly refer to No. 8 of "Matrix42 AG's Terms of Use for Software".

Irrespective of the physical location and the ownership of hardware on which the software is used, the company that uses the software is responsible for all obligations arising out of the license terms and conditions.

Usage of Older Versions

For any permitted copy or instance, you are entitled to create a copy or instance of an older version, instead of the licensed version, and store, install, execute or access this older version accordingly ("downgrade" permission).

You may use different versions of components only as defined in the product-specific compatibility matrix, which can be found in the respective release notes of your version in use.

If you use an older version, Matrix42 is not obliged to supply security updates or provide support for the product or service beyond the end of the respective standard support. Please read the explanations on "standard support" below.

Usage of Advance Versions

Specific advance versions (e.g., beta versions), are subject to their respective specific terms & conditions, which are supplied with these versions. In general, advance versions may only be used on test systems.

Additional and Discontinued Functionality

Subject to additional terms & conditions that are supplied with the respective update or add-on, you are entitled to use add-ons (such as free functionality packages) with the licensed software.

In case of software updates, Matrix42 is entitled to modify or discontinue previously available program functionality with prior notification.

Load Balancing and High Availability

You are entitled to distribute the program components of an instance to multiple virtual or physical computer systems or run them in a cluster for load balancing and high availability purposes.

Trial Systems

You are entitled to run any number of instances of the licensed products for testing and training purposes, if information gained and functionality executed with these instances is not used in productive environments.

Documentation

Any person who has authorized access to the operated software is entitled to copy and use the documentation for internal use.

Product Maintenance

General Regulation

Product maintenance refers to the technical maintenance and bug fixing through the software manufacturer's maintenance work; this also includes the provision of hotfixes for critical problems.

As a rule, Matrix42 provides product maintenance for an available version only until the next version is available, with a minimum maintenance of 18 months. Please also refer to Matrix42 AG's General Terms & Conditions under www.matrix42.com.

Example: A product version is released in March 2016.

- Case 1: The next version will be released in March 2017
→ product maintenance expires in September 2017 (when the respective version is 18 months old)
- Case 2: The next version is not released before December 2017
→ product maintenance expires in December 2017 (when the next version is available)

Bugs are fixed with the respective next update for the affected product version or the subsequent product version accordingly. For critical problems, Matrix42 supplies customers a hotfix for the latest update of the product version deployed, if supported. For older versions, hotfixes are not supplied.

Examples:

- A product version is released in March 2016
→ Hotfixes for critical problems are available for this product version
- In June 2016, an update (Update 1) of this product version is released
→ Hotfixes are only available for this update

- In September 2016, an update (Update 2) of this product version is released
 → Hotfixes are only available for this update

To ensure the continued maintainability of the respective version in use, customers must install available updates and migrate to the next product version before the maintenance period expires. Normally, the available migration window for the switch to a new version is six months:

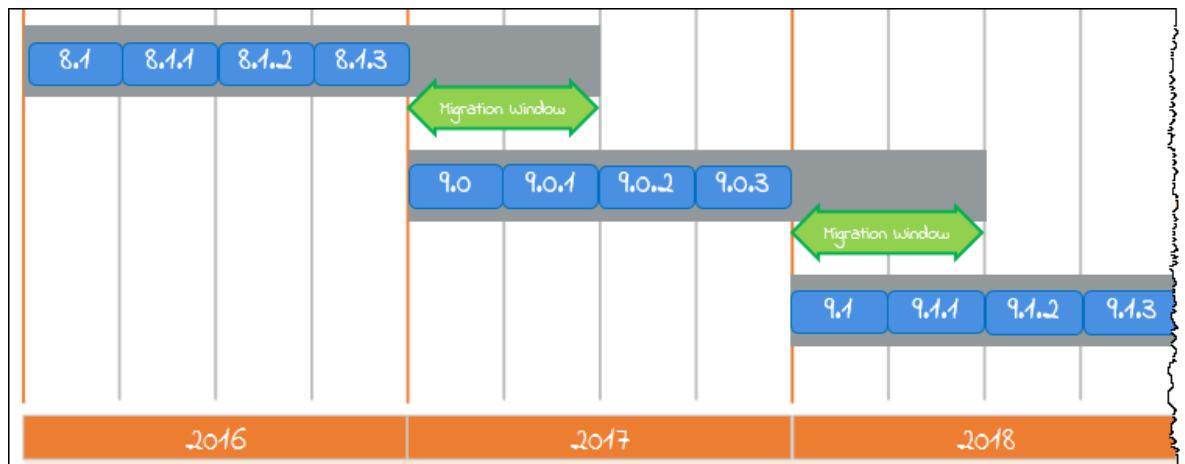


Illustration 1: Simplified and product agnostic schema of Standard Product Maintenance across versions. Grey bars represent maintenance period. Effective periods always depend on actual product release dates.

Special Regulation for Long-Term Maintenance

Customers with an active “Premium Support” agreement with Matrix42 can contact the Matrix42 helpdesk to apply for the special regulation for long-term maintenance in writing. This special regulation may be terminated at any time in writing. It automatically ends when the underlying “Premium Support” agreement ends.

Long-term maintenance is only available for product versions with the “LTSB” (Long Term Service Branch) label or rating. Bugs of an LTSB version are fixed with the subsequent LTSB version. For critical problems, Matrix42 supplies customers a hotfix for the deployed and supported LTSB version.

Customers that are using more than one product platform do not need to run a LTSB-version for each of them. It is allowed to operate a LTSB-version together with other versions that fall under standard maintenance.

Matrix42 provides long-term maintenance for an LTSB product version, starting with its availability and until a subsequent LTSB version is available, but at least for a period of 24 months.

To ensure the continued maintainability of the respective version in use, customers must migrate to the subsequent product version before the maintenance period expires. Normally, the available migration window for the switch to a new version with long-term maintenance is twelve months:

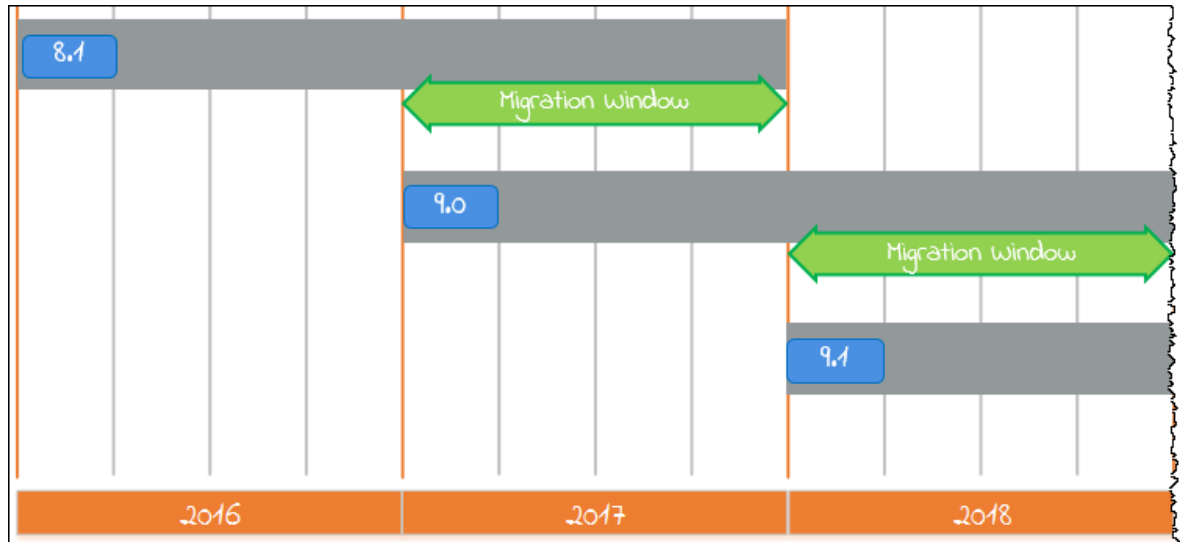


Illustration 2: Simplified and product agnostic schema of Long-Term Product Maintenance across versions. Grey bars represent maintenance period. Effective periods always depend on actual product release dates.

Special Regulation for Extended Maintenance

Customers with an active “Long-Term Maintenance” agreement with Matrix42 can apply for the special regulation for extended maintenance in writing. The term of this extended maintenance agreement shall always be 12 months and cannot be renewed.

Extended maintenance is only available for product versions with the “LTSB” (Long Term Service Branch) rating.

To ensure the continued maintainability of the respective version in use, customers must migrate to the subsequent version before the maintenance period expires. Normally, the available migration window for the switch to a new version with extended maintenance lies between at least twelve months minimum and a maximum of 24 months:

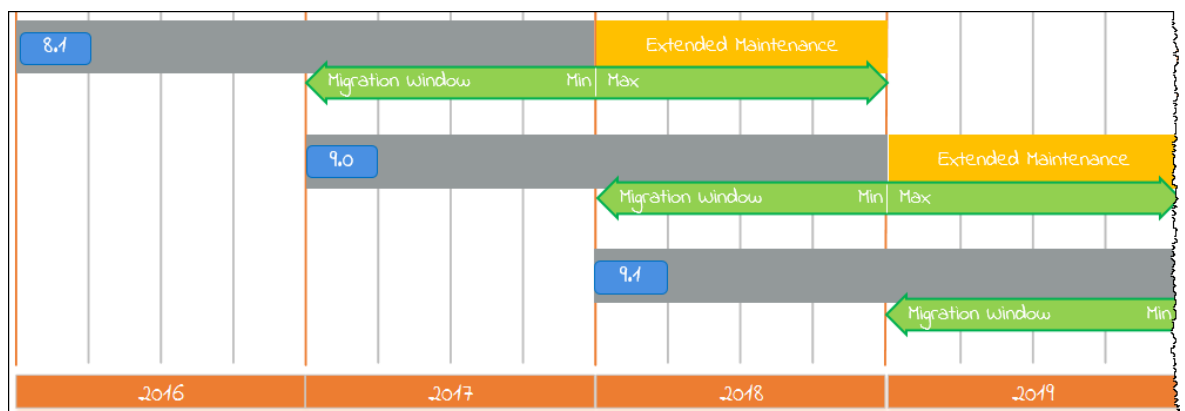


Illustration 3: Simplified and product agnostic schema of Long-Term Product with Extended Maintenance across versions. Grey and yellow bars represent maintenance periods. Effective periods always depend on actual product release dates.

In case that multiple product platforms are involved, every one having a different maintenance period, those will not expire during the lifetime of the Extended Maintenance:

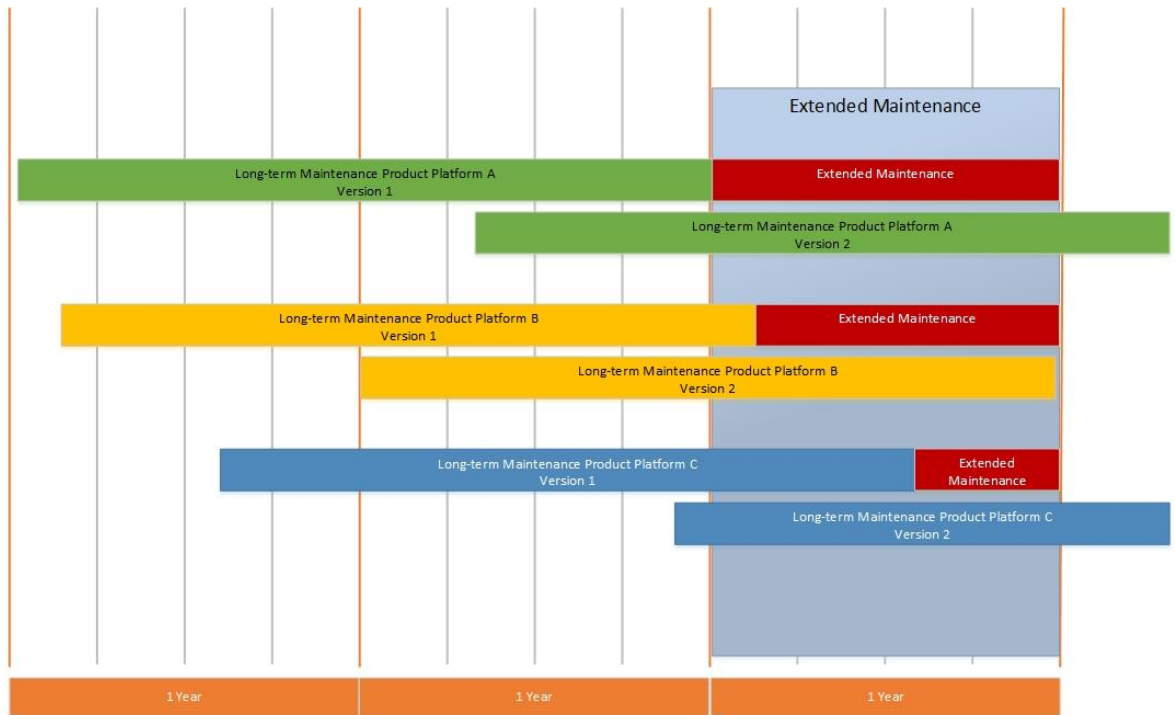


Illustration 4: The Extended Maintenance (grey rectangle) ensures that maintenance periods of relevant product versions will continue until the end of the maintenance contract (red bar).

Special Regulation for Third-Party Software

Software that has been produced by other companies than Matrix42 is subject to the maintenance conditions of the respective companies, if and to the extent to which Matrix42 has informed the customer accordingly when the contract was concluded.

Maintenance Status

Software Asset & Service Management

Product version	Available since	End of maintenance	Status
Version 9.0	December 2017	(not earlier than 06/2019)	Active
Version 8.1 LTSB	September 2016	(not earlier than 09/2018)	Active
Version 8.1	April 2016	(with Version 9.1)	Active
Version 8.0	May 2015	November 2017	Expired
Version 7.2	December 2014	June 2016	Expired

Product version	Available since	End of maintenance	Status
Version 7.1	August 2014	February 2016	Expired
Version 7.0	April 2014	October 2015	Expired
Version 6.0	May 2013	May 2015	Expired
Version 5.33 (5.3 SP3)	May 2012	December 2014	Expired
Version 5.32 (5.3 SP2)	September 2011	May 2013	Expired
Version 5.31 (5.3 SP1)	January 2011	May 2012	Expired
Version 5.21	May 2010	September 2011	Expired

Unified Endpoint Management – Empirum

Product version	Available since	End of maintenance	Status
Version 18.0.1 LTSB	June 2018	(not earlier than 06/2020)	Active
Version 18.0	April 2018	(not earlier than 10/2019)	Active
Version 17.0	July 2017	January 2019	Active
Version 16.1.1 LTSB	December 2016	June 2019	Active
Version 16.1	May 2016	(not earlier than 01/2018)	Expired
Version 16	May 2015	July 2017	Expired
Version 15.1	June 2013	December 2016*	Expired
Version 15	November 2012	December 2014	Expired
Version 14.2	March 2012	May 2015	Expired
Version 14.1	May 2011	November 2012	Expired
Version 14	October 2010	March 2012	Expired

* In deviation from the general regulation, due to migration from previous maintenance policy to new general regulations regarding product maintenance.

Unified Endpoint Management – Enterprise Manager

Product version	Available since	End of maintenance	Status
Version 7.2	September 2018	(not earlier than 03/2020)	
Version 6.6	August 2018	(not earlier than 02/2020)	
Version 7.1	February 2018	(not earlier than 08/2019)	

Version 7.0	August 2017	(not earlier than 02/2019)	Active
Version 6.5	April 2017	October 2018	Expired
Version 6.4	December 2016	June 2018	Expired
Version 6.3	August 2016	February 2018	Expired
Version 6.2	May 2016	November 2017	Expired
Version 6.1	March 2016	September 2017	Expired
Version 6	February 2016	August 2017	Expired
Version 2014	July 2014	August 2017	Expired
Version 2013 SP1	November 2013	February 2016	Expired
Version 2013	March 2013	September 2014	Expired
Version 2011 SP1	May 2012	November 2013	Expired
Version 2011	September 2011	March 2013	Expired

Unified Endpoint Management – Silverback

Product version	Available since	End of maintenance	Status
Version 18.0	March 2018	(not earlier than 09/2019)	Active
Version 6.0	April 2017	(not earlier than 10/2018)	Active
Version 5.1	August 2016	(not earlier than 02/2018)	Expired
Version 5.0	February 2016	August 2017	Expired
Version 4.9	September 2015	March 2017	Expired
Version 4.8	July 2015	December 2016	Expired
Version 4.7	February 2015	August 2016	Expired

* In deviation from the general regulation, the last 3 major versions, including service packs and updates, are supported for this product line.

Oracle Database / Datacenter Compliance (nova ratio)

Product version	Available since	End of maintenance	Status
Version 11	June 2018	(not earlier than 12/2019)	Active
Version 10	January 2017	(not earlier than 07/2018)	Active

Product version	Available since	End of maintenance	Status
Version 9	May 2015	June 2018	Expired

Compatibility

Regarding compatibility between different product platforms the rule applies, that all versions under active maintenance are compatible among each other. In case of exceptions to this rule, they will be advised in corresponding release notes.

Discontinuation Announcements

- › Easy Recovery is not supported anymore with Unified Endpoint Management 18.0.
- › Matrix42 Virtual Client Management has not been approved and released for Empirum v16.0 and will not provide support for later versions of Citrix XenDesktop/ XenApp 7.x.
- › Development work on the add-on module "*Matrix42 Power Management*" has been stopped. The current version is subject to the standard support conditions until this support is discontinued (see chapter Product Maintenance on page 9).
- › Development work on the Matrix42 Patch Management feature (known as "Patch Management v2", as opposed to the "Patch Management v3" add-on) has been stopped. The current version is subject to the standard support conditions until this support is discontinued (see chapter on Product Maintenance page 9).

Additional Services

License Intelligence Service

The *Matrix42 License Intelligence Service* (LIS, Standard Service Level) provides Matrix42's customers application signatures for the automatic software detection of installed applications and catalog items from the software manufacturers' price lists to help them book acquired licenses within the *Matrix42 License Management* tool.

Matrix42 License Intelligence Service provides the following information:

- Predefined data sets for paid standard software
- Predefined data sets for the respective software manufacturers
- Application signatures for desktop applications of prioritized manufacturers
- Article catalogs for desktop applications of prioritized manufacturers

The following software manufacturers are prioritized:

1. Acronis
2. Adobe
3. Apple
4. Autodesk

5. Corel
6. McAfee
7. Microsoft
8. Oracle
9. Trend Micro
10. Symantec

Matrix42 decides at their own discretion on the scope and maturity of the supplied data. For the manufacturers listed above, you are entitled to request the inclusion of data sets that are not included in the current scope of service yet. Matrix42 shall handle and work on these requests as soon as possible, but does not guarantee any deadlines. Matrix42 reserves the right to modify the list of prioritized software manufacturers any time and as needed.

Matrix42 gathers these data to the best of their knowledge, but does not guarantee their currency, completeness and correctness. We expressly state that each software manufacturer has his own and varying contractual regulations, which cannot be accounted for with, respect to the supplied data.

Using these data does not release you from your responsibility of reviewing the impact of any calculations based upon these data and correct them manually, if necessary.

You may only use the LIS data for creating your license balance. Any other usage within your company, distribution of these data beyond your legal company boundaries and any usage for the purpose of any third party are not permitted.

Package Cloud

Matrix42's Package Cloud provides preconfigured installation packages for the automated distribution of third-party software.

These installation packages only comprise the parameterization of an automated installation, but no software licenses. You are obliged to ensure compliance with the licensing terms & conditions of the respective copyright owners.

You are entitled to use the installation packages provisioned via the Matrix42 Package Cloud within your legal company boundaries. When the respective contract has been terminated or has been expired, this right shall also apply.

Matrix42 shall decide at their own discretion on the choice, scope and maturity of the supplied installation packages.

You are not entitled to use the installation packages outside your company. Neither are you entitled to forward the installation packages to any third party (for remuneration or free of charge) or use them for any third party's purposes (service provider).

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